envolve™
Benefit Options

Sedation Evaluation Tool for Dental Procedures
* Indicates Required Information

* Member Name: _____   * Member ID Number: _____

* Member DOB: _____   * Today's Date: _____

* Provider Name: _____   * Tentative Service Date: _____

* Type of Sedation Requested (select one of the following):
☐ IV Moderate Sedation (D9239)   ☐ IV Deep Sedation / General Anesthesia (D9222)

* Location Requested (select one of the following):
☐ Dental Office   ☐ Hospital Outpatient (OP)   ☐ Ambulatory Surgical Center (ASC)

* Dental Diagnosis (ICD-10 codes required): ☐ K02.3   ☐ K04.02   ☐ K05.323   ☐ K01.1
☐ M26.79   ☐ K08.3   ☐ S02.401   ☐ S02.600   Other: _____

* Medical Diagnosis (ICD-10 codes required): ☐ I25.9   ☐ I50.20   ☐ I16.0   ☐ J44.9
☐ J45.52   ☐ J45.909   ☐ R09.02   ☐ J39.8   ☐ G47.33   ☐ J98.4   ☐ G70.9
☐ G71.0   ☐ G80.9   ☐ G40.909   ☐ R45.6   ☐ F84.0   ☐ D68.9   ☐ J18.0
☐ J47.9   ☐ E66.01   ☐ R13.12   ☐ R13.10   ☐ T88.59XA   ☐ E88.40   ☐ G47.33
☐ F72:   ☐ F41.8   ☐ F90.9   ☐ T78.40XA   Other: _____

* Airway (Mallampati) Score: ☐ Class I   ☐ Class II   ☐ Class III $^\varnothing$ ☐ Class IV $^\Delta$

* ASA PS Classification: ☐ ASA I   ☐ ASA II   ☐ ASA III $^\Delta$ ☐ ASA IV $^\Delta$

$^\Delta$ **Automatically Qualifies for D9222/D9223 – If a pediatric patient, IV Deep Sedation/General Anesthesia approved for hospital OP or ASC only**

$^\varnothing$ **Requires ENT physician clearance for dental office setting for pediatric patients**

* DOCUMENTATION FOR IN-OFFICE AND HOSPITAL OP or ASC GENERAL ANESTHESIA
- Readable Pre-Operative (if available) or Post-Operative X-rays
- All Supporting Physician and Referring Dentist Patient Chart Notes

* Scoring Tool for Qualification:

* Age (select one)		* Health Complications (select all that apply) **	
Patient's Age on Date of Service	Points	Medically Compromising/Handicapping Condition	Points
0 – 4 yrs	12 ☐	Hemodynamically significant congenital heart defects or prosthetic heart valve that requires strict anticoagulation; Unrepaired congenital heart defects or those with repairs that have not been completed; Pulmonary hypertension; Any condition where the patient is chronically anticoagulated or bleeding disorders.	12 ☐
5 – 6 yrs	10 ☐	Neuromuscular disease, including spastic paralysis, muscular dystonias/dystrophies, and cerebral palsy; Mitochondrial diseases.	12 ☐
7 – 8 yrs	6 ☐	Severe and/or poorly controlled asthma with multiple daily usage of albuterol; Room air oxygen saturation of less than 92; Tracheostomy; Tracheomalacia; Any condition requiring supplemental oxygen; OSA (dx or by a STOP-Bang score of 5 or greater); Morbid obesity (BMI greater than or equal to 40).	12 ☐
9 yrs	4 ☐	Autism; Severely mentally delayed; Anxiety Disorder such as claustrophobia; Seizure Disorder that is not well controlled (seizure activity within the last two months).	12 ☐
10+ yrs	2 ☐	Moderate anxiety/Situational anxiety; ADD/ADHD; Combative behavior; Allergies; Hysteria.	5 ☐

** **Any ASA III or higher, or Health Complication checked box requires submission of member's physician and referring dentist's patient records documenting the specific condition.**

* Total Reimbursable Services Required to Restore or Extract Teeth (select one)	
Patient's Age on Date of Service	Points
Services – 13+	12 ☐
Services – 10-12	10 ☐
Services – 7-9	8 ☐
Services – 4-6	4 ☐

* Dental Care Conditions ***	
Complicated Removal of Multiple Permanent Teeth (select only one)	Points
2 or More Impactions Involving 2 or More Quadrants	15 ☐
2 or More Extractions Involving 2 or More Quadrants	12 ☐
Documented Previous Condition Attempted & Failed (select only one)	Points
Oral Sedation Unsuccessful	5 ☐
Nitrous Oxide Inhalation Unsuccessful	3 ☐

*** Any selected Previous Anesthesia Attempted and Failed check box requires submission of the member's referring or present dentist's patient records documenting the specific condition.

* Total Points from Tool: _____

Qualifying Score	
In-Office IV Deep Sedation or General Anesthesia	**20** points or greater
Hospital Outpatient or Ambulatory Surgical Center General Anesthesia	**26** points or greater

Partial List of Dental and Medical Diagnosis Codes (ICD-10) – Other Codes May Be Necessary

Dental ICD-10 Codes
K02.3 Dental Caries
K04.02 Irreversible Pulpitis
K05.323 Chronic Periodontitis
K01.1 Impacted Teeth
M26.79 Alveolar Anomaly
K08.3 Retained Dental Root
S02.401 Maxillary Fracture
S02.600 Mandibular Fracture
K08.429 Partial Loss of Teeth - Perio
K08.419 Partial Loss of Teeth - Trauma
T88.52 Failed Moderate Sedation

Medical ICD-10 Codes
Cardiac-Related
I35.9 Significant Valvular HD
I45.9 Arrhythmias
I25.9 Ischemic Heart Disease
I50.20 Congestive Heart Failure
I16.0 Uncontrolled HTN (>160/100)
Pulmonary-Related
J44.9 COPD
J45.52 Severe Asthma
J45.909 Poorly Controlled Asthma
R09.02 Hypoxia
J96.02 Hypercapnia

J39.8 Tracheal Issues
G47.33 Sleep Apnea
F17.200 Cigarette Smoker
J98.4 Restrictive Lung Disease
R04.2 Hemoptysis
Neurological-Related
G70.9 Neuromuscular Disease
E88.40 Mitochondrial Disease
G71.0 Muscular Dystrophy
G80.9 Cerebral Palsy
G40.909 Seizure Disorder
F03.90 Dementia (Any Type)
G81.13 Spastic Paralysis
F72: Severe Mental Delay
Behavioral Health-Related
F91.9 Uncooperative Patient
F41.9 Severe Anxiety
F79 Intellectual Deficit
R45.6 Combative Behavior
F84.0 Autism
H44.9 Hysteria
F41.8 Situational Anxiety
F90.9 ADD / ADHD
Hematology/Oncology-Related
D68.9 Bleeding Disorder
Z79.01 Chronic Anticoagulation

D64.9 Anemia (Hgb<10)
D72.819 Leukopenia
D72.829 Leukocytosis
C80 Malignancy
R04.0 Epistaxis
Infectious Disease-Related
J18.0 Bronchitis/Pneumonia
A15.0 Tuberculosis
J47.9 Bronchiectasis
B20 HIV
Metabolic/Endocrine-Related
E66.01 Morbid Obesity (BMI 40+)
E13 Diabetes Mellitus
E03.9 Hypothyroidism
Gastrointestinal-Related
K27.9 Peptic Ulcer Disease
E46 Malnutrition
R13.12 Dysphagia
R13.10 Swallowing Issue
Rheumatologic-Related
M06.9 Rheumatoid Arthritis
L94.0 Scleroderma
M32.9 Systemic Lupus
Other-Related
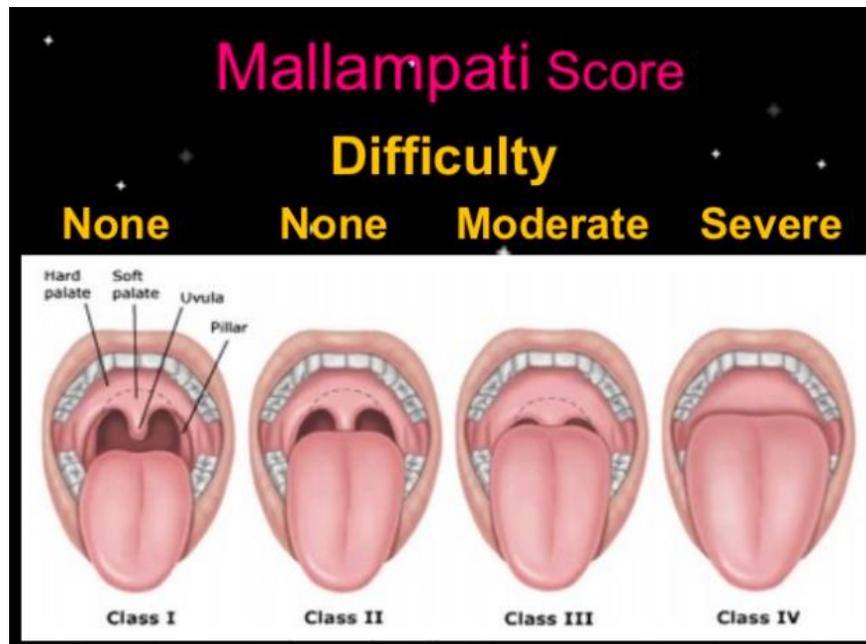T88.59XA Hx Anesthesia Problems
T78.40XA Allergy

I certify that it is medically necessary that this member must undergo a surgical procedure requiring general anesthesia or IV sedation in either a dental office or outpatient hospital setting as indicated above. I acknowledge that additional records may be required for auditing purposes. In the event that this record is selected for audit and the records do not demonstrate medical necessity, recoupment of payment(s) may ensue.

_____ DMD/DDS

Sedation Evaluation Tool for Dental Procedures

Exhibit A

Mallampati (Airway) Classification Guide

ASA Physical Status Classification System*

ASA PS Classification	Definition	Examples, including, but not limited to:
ASA I	A normal healthy patient	Healthy, non-smoking, no or minimal alcohol use
ASA II	A patient with mild systemic disease	Mild diseases only without substantive functional limitations. Examples include (but not limited to): current smoker, social alcohol drinker, pregnancy, obesity (30 < BMI < 40), well-controlled DM/HTN, mild lung disease
ASA III	A patient with severe systemic disease	Substantive functional limitations; One or more moderate to severe diseases. Examples include (but not limited to): poorly controlled DM or HTN, COPD, morbid obesity (BMI ≥40), active hepatitis, alcohol dependence or abuse, implanted pacemaker, moderate reduction of ejection fraction, ESRD undergoing regularly scheduled dialysis, premature infant PCA < 60 weeks, history (>3 months) of MI, CVA, TIA, or CAD/stents.
ASA IV	A patient with severe systemic disease that is a constant threat to life	Examples include (but not limited to): recent (< 3 months) MI, CVA, TIA, or CAD/stents, ongoing cardiac ischemia or severe valve dysfunction, severe reduction of ejection fraction, sepsis, DIC, ARD or ESRD not undergoing regularly scheduled dialysis

* https://www.asahq.org/standards-and-guidelines/asa-physical-status-classification-system