

Sedation Evaluation Tool for Dental Procedures

* Indicates Required Information

* Member Name: _____ * Member ID Number: _____

* Member DOB: _____ * Today's Date: _____

* Provider Name: _____ * Tentative Service Date: _____

* Type of Sedation Requested (select one of the following):

- IV Moderate Sedation (D9239) IV Deep Sedation / General Anesthesia (D9222)

* Location Requested (select one of the following):

- Dental Office Hospital Outpatient (OP) Ambulatory Surgical Center (ASC)

* Dental Diagnosis (ICD-10 codes required): K02.3 K04.02 K05.323 K01.1

- M26.79 K08.3 S02.401 S02.600 Other: _____

* Medical Diagnosis (ICD-10 codes required): I25.9 I50.20 I16.0 J44.9

- J45.52 J45.909 R09.02 J39.8 G47.33 J98.4 G70.9

- G71.0 G80.9 G40.909 R45.6 F84.0 D68.9 J18.0

- J47.9 E66.01 R13.12 R13.10 T88.59XA E88.40 G47.33

- F72: F41.8 F90.9 T78.40XA Other: _____

* Airway (Mallampati) Score: Class I Class II Class III[∅] Class IV^Δ

* ASA PS Classification: ASA I ASA II ASA III^Δ ASA IV^Δ

^Δ Automatically Qualifies for D9222/D9223 – If a pediatric patient, IV Deep Sedation/General Anesthesia approved for hospital OP or ASC only

[∅] Requires ENT physician clearance for dental office setting for pediatric patients

* DOCUMENTATION FOR IN-OFFICE AND HOSPITAL OP or ASC GENERAL ANESTHESIA

- Readable Pre-Operative (if available) or Post-Operative X-rays
- All Supporting Physician and Referring Dentist Patient Chart Notes

* Scoring Tool for Qualification:

* Age (select one)		* Health Complications (select all that apply) **	
Patient's Age on Date of Service	Points	Medically Compromising/Handicapping Condition	Points
0 – 4 yrs	12 <input type="checkbox"/>	He mododynamically significant congenital heart defects or prosthetic heart valve that requires strict anticoagulation; Unrepaired congenital heart defects or those with repairs that have not been completed; Pulmonary hypertension; Any condition where the patient is chronically anticoagulated or bleeding disorders.	12 <input type="checkbox"/>
5 – 6 yrs	10 <input type="checkbox"/>	Neuromuscular disease, including spastic paralysis, muscular dystonias/dystrophies, and cerebral palsy; Mitochondrial diseases.	12 <input type="checkbox"/>
7 – 8 yrs	6 <input type="checkbox"/>	Severe and/or poorly controlled asthma with multiple daily usage of albuterol; Room air oxygen saturation of less than 92; Tracheostomy; Tracheomalacia; Any condition requiring supplemental oxygen; OSA (dx or by a STOP-Bang score of 5 or greater); Morbid obesity (BMI greater than or equal to 40).	12 <input type="checkbox"/>
9 yrs	4 <input type="checkbox"/>	Autism; Severely mentally delayed; Anxiety Disorders such as claustrophobia; Seizure Disorder that is not well controlled (seizure activity within the last two months).	12 <input type="checkbox"/>
10+ yrs	2 <input type="checkbox"/>	Moderate anxiety/Situational anxiety; ADD/ADHD; Combative behavior; Allergies; Hysteria.	5 <input type="checkbox"/>

** Any ASA III or higher, or Health Complication checked box requires submission of member's physician and referring dentist's patient records documenting the specific condition.

* Total Reimbursable Services Required to Restore or Extract Teeth (select one)	
Patient's Age on Date of Service	Points
Services – 13+	12 <input type="checkbox"/>
Services – 10-12	10 <input type="checkbox"/>
Services – 7-9	8 <input type="checkbox"/>
Services – 4-6	4 <input type="checkbox"/>

* Dental Care Conditions ***	
Complicated Removal of Multiple Permanent Teeth (select only one)	Points
2 or More Impactions Involving 2 or More Quadrants	15 <input type="checkbox"/>
2 or More Extractions Involving 2 or More Quadrants	12 <input type="checkbox"/>
Documented Previous Condition Attempted & Failed (select only one)	Points
Oral Sedation Unsuccessful	5 <input type="checkbox"/>
Nitrous Oxide Inhalation Unsuccessful	3 <input type="checkbox"/>

***** Any selected Previous Anesthesia Attempted and Failed check box requires submission of the member's referring or present dentist's patient records documenting the specific condition.**

*** Total Points from Tool: _____**

Qualifying Score	
In-Office IV Deep Sedation or General Anesthesia	20 points or greater
Hospital Outpatient or Ambulatory Surgical Center General Anesthesia	26 points or greater

Partial List of Dental and Medical Diagnosis Codes (ICD-10) – Other Codes May Be Necessary

Dental ICD-10 Codes

K02.3 Dental Caries
 K04.02 Irreversible Pulpitis
 K05.323 Chronic Periodontitis
 K01.1 Impacted Teeth
 M26.79 Alveolar Anomaly
 K08.3 Retained Dental Root
 S02.401 Maxillary Fracture
 S02.600 Mandibular Fracture
 K08.429 Partial Loss of Teeth - Perio
 K08.419 Partial Loss of Teeth - Trauma
 T88.52 Failed Moderate Sedation

Medical ICD-10 Codes

Cardiac-Related

I35.9 Significant Valvular HD
 I45.9 Arrhythmias
 I25.9 Ischemic Heart Disease
 I50.20 Congestive Heart Failure
 I16.0 Uncontrolled HTN (>160/100)

Pulmonary-Related

J44.9 COPD
 J45.52 Severe Asthma
 J45.909 Poorly Controlled Asthma
 R09.02 Hypoxia
 J96.02 Hypercapnia

J39.8 Tracheal Issues
 G47.33 Sleep Apnea
 F17.200 Cigarette Smoker
 J98.4 Restrictive Lung Disease
 R04.2 Hemoptysis

Neurological-Related

G70.9 Neuromuscular Disease
 E88.40 Mitochondrial Disease
 G71.0 Muscular Dystrophy
 G80.9 Cerebral Palsy
 G40.909 Seizure Disorder
 F03.90 Dementia (Any Type)
 G81.13 Spastic Paralysis
 F72: Severe Mental Delay

Behavioral Health-Related

F91.9 Uncooperative Patient
 F41.9 Severe Anxiety
 F79 Intellectual Deficit
 R45.6 Combative Behavior
 F84.0 Autism
 H44.9 Hysteria
 F41.8 Situational Anxiety
 F90.9 ADD / ADHD

Hematology/Oncology-Related

D68.9 Bleeding Disorder
 Z79.01 Chronic Anticoagulation

D64.9 Anemia (Hgb<10)
 D72.819 Leukopenia
 D72.829 Leukocytosis
 C80 Malignancy
 R04.0 Epistaxis

Infectious Disease-Related

J18.0 Bronchitis/Pneumonia
 A15.0 Tuberculosis
 J47.9 Bronchiectasis
 B20 HIV

Metabolic/Endocrine-Related

E66.01 Morbid Obesity (BMI 40+)
 E13 Diabetes Mellitus
 E03.9 Hypothyroidism

Gastrointestinal-Related

K27.9 Peptic Ulcer Disease
 E46 Malnutrition
 R13.12 Dysphagia
 R13.10 Swallowing Issue

Rheumatologic-Related

M06.9 Rheumatoid Arthritis
 L94.0 Scleroderma
 M32.9 Systemic Lupus

Other-Related

T88.59XA Hx Anesthesia Problems
 T78.40XA Allergy

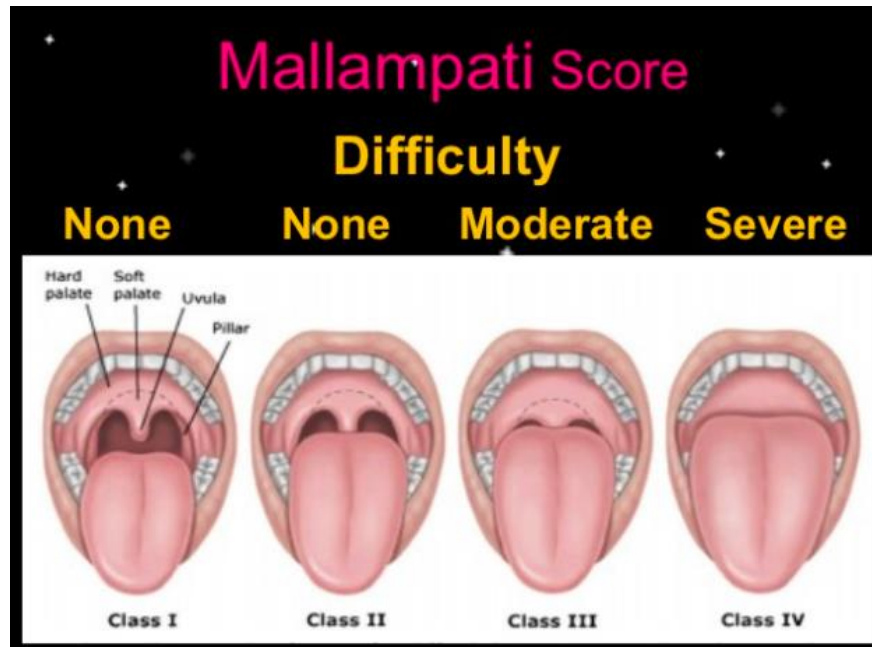
I certify that it is medically necessary that this member must undergo a surgical procedure requiring general anesthesia or IV sedation in either a dental office or outpatient hospital setting as indicated above. I acknowledge that additional records may be required for auditing purposes. In the event that this record is selected for audit and the records do not demonstrate medical necessity, recoupment of payment(s) may ensue.

_____ DMD/DDS

Sedation Evaluation Tool for Dental Procedures

Exhibit A

Mallampati (Airway) Classification Guide



ASA Physical Status Classification System*

ASA PS Classification	Definition	Examples, including, but not limited to:
ASA I	A normal healthy patient	Healthy, non-smoking, no or minimal alcohol use
ASA II	A patient with mild systemic disease	Mild diseases only without substantive functional limitations. Examples include (but not limited to): current smoker, social alcohol drinker, pregnancy, obesity (30 < BMI < 40), well-controlled DM/HTN, mild lung disease
ASA III	A patient with severe systemic disease	Substantive functional limitations; One or more moderate to severe diseases. Examples include (but not limited to): poorly controlled DM or HTN, COPD, morbid obesity (BMI ≥40), active hepatitis, alcohol dependence or abuse, implanted pacemaker, moderate reduction of ejection fraction, ESRD undergoing regularly scheduled dialysis, premature infant PCA < 60 weeks, history (>3 months) of MI, CVA, TIA, or CAD/stents.
ASA IV	A patient with severe systemic disease that is a constant threat to life	Examples include (but not limited to): recent (< 3 months) MI, CVA, TIA, or CAD/stents, ongoing cardiac ischemia or severe valve dysfunction, severe reduction of ejection fraction, sepsis, DIC, ARD or ESRD not undergoing regularly scheduled dialysis

* <https://www.asahq.org/standards-and-guidelines/asa-physical-status-classification-system>